

3. Officers and employees specified under a Cabinet Order

(As of 01/01/2020)

Personal or company name:	Organizational position	Type of the employee specified by a Cabinet Order
Nicholas V. Morosoff	Director	
Jordan Dembo	Director	
David Thompson	Director	

(Note)

1. In the case of a foreign corporation, it is not necessary to enter the name of the representative in Japan (i.e., the person specified under FIEA Article 63(7)(i)(d)).
2. In the "Type of the employee specified by a Cabinet Order" column: If the person is an "employee who oversees operations regarding provision of guidance to have employees comply with laws, etc., or other individuals with similar responsibility" or an "employee who oversees the division that performs investment management or other individuals with similar responsibility," enter the type in this column.
3. A person who has changed his/her surname may quote his/her former name next to the current full name in parentheses in the "Personal or company name" section.

4. Status of the sales branch or business office where SPBQII is operated

(As of 01/01/2020)

Name	Location	Phone
Principal business office	4700 Wilshire Boulevard, Los Angeles, CA 90010, United States	+1-323-860-4900
Registered office	<del>Maples Corporate Services Limited, P.O. Box 309, Ugland House, Grand Cayman, KY1-1104, Cayman Islands</del>	+1-345-949-8066

(Note)

Please enter the details of this section by the individual business offices that perform SPBQII.